



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicants:	William S. Adney et al.)	
)	Examiner: Not Yet Accorded
Serial No.:	10/031,496)	
)	Art Unit: Not Yet Accorded
Filing Date:	January 14, 2002)	
)	
Title:	Cellobiodydrolase I Gene and Improved Variants)	Atty. Dkt. No.: NREL 99-45
)	

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Honorable Assistant Commissioner for Patents
Mail Stop Missing Part
Alexandria, VA 22313-1450

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

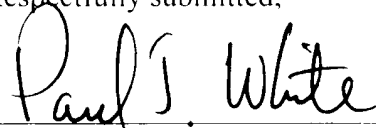
This correspondence is in response to a Notice to File Missing Parts of NonProvisional Application, dated April 23, 2003, wherein the oath or declaration of the inventors, William S. Adney, Stephen R. Decker, Suzanne McCarter, John O. Baker, Rafael Nieves, Michael E. Himmel and Todd B. Vainzant is missing. Also, the computer readable form on diskett was damaged and/or unreadable and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing on paper or diskett includes no new matter is now required.

In full compliance with the Notice to File Missing Parts of NonProvisional Application, Applicant submits a properly executed Combined Declaration and Power of Attorney. Applicants also submits a surcharge of \$65.00 as set forth in 37 CFR §§ 1.16(e) for small entity, and authorizes the U.S. Patent & Trademark Office to charged the surcharge payment to Applicant's Deposit Account No. 14-0460. In the event Applicant owes more than \$65.00, Applicant authorizes the Patent Office to charge said amount to Applicant's deposit account.

As requested, Applicant has included a statement to support filing and submission in accordance with 37 CFR §§ 1.821-1.825, and a computer readable form on diskett of the sequence listing.

A copy of Part 2 - Notice to File Missing Parts of NonProvisional Application is also enclosed as requested.

Respectfully submitted,



Paul J. White, Reg. No. 30,436
Attorney for Applicant

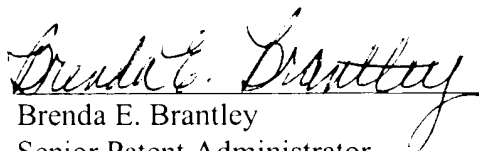
Dated: May 2, 2003

Enclosure: As stated above.

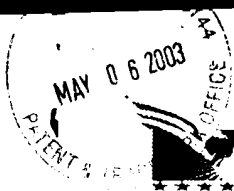
National Renewable Energy Laboratory
1617 Cole Blvd
Golden, CO 80401
303/384-7575

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that the following attached items: Response to Notice to File Missing Parts of NonProvisional Application, Sequence Listing and diskette have been deposited in the United States Postal Service as first class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, U.S. Patent & Trademark Office, Mail Stop Missing Parts, Box 1450, Alexandria, VA 22313-1450 on the 2nd day of May 2003.



Brenda E. Brantley
Senior Patent Administrator



UNITED STATES
PATENT AND
TRADEMARK OFFICE

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/031,496	01/14/2002	William S. Adney	NREL 99-45

CONFIRMATION NO. 6834

23712
PAUL J WHITE, SENIOR COUNSEL
NATIONAL RENEWABLE ENERGY LABORATORY (NREL)
1617 COLE BOULEVARD
GOLDEN, CO 80401-3393

FORMALITIES LETTER



OC000000009888577

Date Mailed: 04/23/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

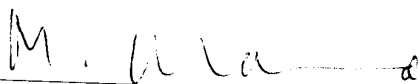
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE